

Attorney Docket No.: <u>AVARS-02700</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 2144
Mansour J. Karam et al.	Examiner: Bengzon, Greg C.
Serial No.: 10/070,338	TRANSMITTAL LETTER
Filed: February 27, 2002	) ) 162 N. Wolfe Road
For: METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH	Sunnyvale, CA 94086 (408) 530-9700
	Customer No.: 28960
Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Sir:	· ·

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449 including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 11-27-06

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.5(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

- I

Date:



Attorney Docket No.: AVARS-02700

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 2144	
Mansour J. Karam et al.	Examiner: Bengzon, Greg C.	
Serial No.: 10/070,338	CERTIFICATION FOR SUPPLEMENTAL INFORMATION	
Filed: February 27, 2002	DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)	
For: METHOD AND APPARATUS FOR () CHARACTERIZING THE QUALITY OF NETWORK PATH	162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700	
	Customer No.: 28960	

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

### Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on October 24, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

Attorney Docket No.: AVARS-02700

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 11-27-06

Reg. No.: 32,571

**Attorneys for Applicants** 

CERTIFICATE OF MAILING (37 CFR§ 1.3(a))

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HAVERSTOCK & OWENS

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Sir:

Attorney Docket No.: AVARS-02700

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	Group Art Unit: 2144
Mans	our J. Karam et al.	Examiner: Bengzon, Greg C.
Seria	l No.: 10/070,338	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Filed: February 27, 2002		) 162 N. Wolfe Road
For:	METHOD AND APPARATUS FOR CHARACTERIZING THE QUALITY OF NETWORK PATH	Sunnyvale, CA 94086 (408) 530-9700
		Customer No.: 28960
	Amendment	
	nissioner for Patents	
	Box 1450	
Alexa	andria, VA 22313-1450	

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,711,152 B1;
- U.S. Publication No. 2001/0026537 A1;
- Nick Feamster et al., "Controlling the Impact of BGP Policy Changes on IP Traffic", November 6, 2001, pages 1-18;
- Dean Jones, "Developing Shared Ontologies in Multi-Agent Systems", pages 1 10; and
- L. Massoulié et al., "Arguments in favour of admission control for TCP flows", pages 1-16.

Attorney Docket No.: AVARS-02700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 11-27-06

Reg. No.: 32,571

Attorneys for Applicants

I neleoy certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 22313-1450

HAVERSTOCK & OWENS

Filing Date

07/06/1999

02/23/2001

Serial No.: 10/070,338

Group Art Unit: 2144

Subclass

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TO-1449
d)
U.S. Department of Conception DISCLOSURE STATEMENT BY APPLICANT (Use Several Species If Necessary) FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: AVARS-02700 Applicant: Mansour J. Karam et al. Filing Date: February 27, 2002 (37 CFR § 1.98(b)) PADEMA U.S. PATENT DOCUMENTS Examiner Initials Serial / Patent Number Applicant / Patentee Issue Date Class 370 6,711,152 B1 03/23/2004 Kalmanek, Jr. et al. AA US 2001/0026537 A1 ΑB 10/04/2001 Massey 370 AC ΑD ΑE ΑF AG ΑH ΑI ΑJ ΑK AL AM

#### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

			Dublication				Translation	
	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Yes	No	
	AP							,
	AQ							
	AR							
	AS							
	AT							

	OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)
AU	Nick Feamster et al., "Controlling the Impact of BGP Policy Changes on IP Traffic", November 6, 2001, pages 1-18.
AV	Dean Jones, "Developing Shared Ontologies in Multi-Agent Systems", pages 1-10.
AW	L. Massoulie et al., "Arguments in favour of admission control for TCP flows", pages 1-16.
AX	
AY	
 AZ	
BA	
BB	
BC	

Examiner:

Date Considered:

EXAMINER:

AN AO

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.